

**Backflow correlations for the electron gas and metallic hydrogen**M. Holzmann,<sup>1,2</sup> D. M. Ceperley,<sup>2,3</sup> C. Pierleoni,<sup>3,4</sup> and K. Esler<sup>2</sup><sup>1</sup>*Laboratoire de Physique Théorique des Liquides, UMR 7600 du CNRS, Université Pierre et Marie Curie, Boîte 121, 4 Place Jussieu, F-75252 Paris, France*<sup>2</sup>*University of Illinois at Urbana-Champaign, Urbana, Illinois 61801, USA*<sup>3</sup>*CECAM, ENS, 46 allée d'Italie, F-69364 Lyon, France*<sup>4</sup>*INFN and Department of Physics, University of L'Aquila, Via Vetoio, I-67010 L'Aquila, Italy*

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We justify and evaluate backflow three-body wave functions for a two-component system of electrons and protons. Based on the generalized Feynman-Kacs formula, many-body perturbation theory, and band structure calculations, we analyze the use and the analytical form of the backflow function from different points of view. The resulting wave functions are used in variational and diffusion Monte Carlo calculations of the electron gas and of solid and liquid metallic hydrogen. For the electron gas, the purely analytic backflow and three-body form gives lower energies than those of previous calculations. For bcc hydrogen, analytical and optimized backflow-three-body wave functions lead to energies nearly as low as those from using local density approximation orbitals in the trial wave function. However, compared to wave functions constructed from density functional solutions, backflow wave functions have the advantage of only few parameters to estimate, the ability to include easily and accurately electron-electron correlations, and that they can be directly generalized from the crystal to a disordered liquid of protons.

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**I. INTRODUCTION**

This paper concerns the form of the ground state wave function of metallic hydrogen at high enough density so that all the hydrogen molecules are dissociated and the electrons are delocalized. Neglecting possible quantum effects on the protonic motion, the many-body wave function can be regarded as the ground state of an electron gas under the influence of an external potential due to the actual positions of the protons. Quantum Monte Carlo (QMC) techniques are currently one of the most powerful methods to calculate accurately the properties of such a many-body quantum system [1]. However, since ground state QMC is based on trial wave functions, QMC typically demands compact *and* accurate descriptions of the ground state wave function. In this paper we review different approaches to obtain and improve trial wave functions, compare the qualities of the resulting many-body wave functions with previous QMC calculations for the electron gas and metallic crystal hydrogen, and present results using these wave functions for liquid metallic hydrogen.

Most of the work within QMC has been done using a pair-product (PP) [or Slater-Jastrow (SJ)] wave function: a Slater determinant of single electron spin orbits times a product of pair electron (Jastrow) factors. Notwithstanding certain deficiencies such as a lack of direct spin coupling, this wave function has proven to be quite accurate, in particular within fixed-node diffusion Monte Carlo (DMC) [1]. The first calculation on many-body hydrogen [2] used an even simpler form of this wave function; the single electron orbits were taken to be free electron plane waves (PWs). We refer to this as the SJ-PW trial function. Later, Natoli [3,4] found that determinants using these orbitals are inaccurate by 0.05 eV/atom within the fixed-node DMC calculations at the density corresponding to the transition between molecular and metallic hydrogen ( $r_s = 1.31$ ). Hence, more accurate orbitals,

computed from either local density functional (LDA) or Hartree-Fock (HF) calculations, are required. Because these orbitals are calculated assuming fixed ionic positions, inclusion of ionic motions, such as those from the zero-point motion of the ions in the crystal, is difficult.

Recently, there have been new attempts [5,6] to calculate properties of disordered systems such as liquid hydrogen within QMC. In the coupled electron ion Monte Carlo (CE-IMC) method [5] the protons are moved based on the results of a QMC calculation of the electronic energy. This approach requires accurate trial functions that can be obtained quickly as the ionic positions are changed; methods involving the solution of mean field equations such as LDA and HF, or even optimizing a parametrized trial function, can greatly slow down the overall performance of the CEIMC simulation [5]. Further, combining the orbitals obtained from LDA or HF with a pair correlation (Jastrow) factor to improve the accuracy is not straightforward; substantial modification of the orbitals might be necessary requiring a reoptimization of the orbitals and the correlation factors [7], in principle, at each new ionic position. This optimization step creates a bottleneck to coupling the QMC calculations with the ionic Monte Carlo.

One could consider obtaining the trial wave function from other variational approaches such as Fermi-hypernetted chain or correlated basis function methods [8] which would not have the problems of optimization. However, in these approaches based on explicit integration, one is in general limited in the form of the trial function by the ease performing the integration, and these are typically much more time consuming than LDA calculations.

One of the biggest advantages of the QMC approach is that one can use an arbitrary wave function without changing the algorithm in an essential way. Fast algorithms will result if one can find concise and accurate forms. In this paper,

instead of using one-body orbitals from mean field theory or integral equations, we propose to use trial functions which depend explicitly and continuously on the ionic variables. Such wave functions do not have to be reoptimized for movements of the ions, are easy to implement, and accurate for disordered systems. These trial functions are a generalization of the backflow three-body wave functions used very successfully in highly correlated homogeneous quantum liquids: liquid  $^3\text{He}$  and the electron gas [10]. There, backflow trial functions show much improvement over the pair product getting  $\approx 75\%$  of the energy missing at the PP level and much more when done with the fixed-node method.

Backflow wave functions were developed by Feynman and Cohen [9] for a single  $^3\text{He}$  impurity in liquid  $^4\text{He}$  when it was recognized that without backflow, the mass of the impurity was equal to the bare mass. Pandharipande and Itoh [11] showed that the backflow arises from the momentum dependence of the correlation between the impurity and the liquid. The backflow wave function was then extended to bulk liquid  $^3\text{He}$  [12,13] using an integral equation method to evaluate expectation values. The first use of backflow in QMC was by Lee *et al.* [14] and others [15,16] with calculations on liquid  $^3\text{He}$ . Moroni *et al.* [17] further optimized the trial function within liquid  $^3\text{He}$ . Kwon *et al.* [18,10] used backflow functions for the electron gas in both two and three dimensions, obtaining significantly lower energies and improved excitation energies. Vitiello *et al.* [19] discuss an equivalence of backflow and spin-dependent correlations, an aspect we will not further consider in this paper.

Using different approaches, we generalize the backflow three-body wave function to a two-component system of electrons and protons and derive approximate expressions for the correlated trial function. We first present an argument based on the generalized Feynman-Kacs formula which shows that backflow is the next order improvement beyond the PP wave function. Using perturbation theory, we then discuss general features of the backflow functions and obtain explicit expressions for the homogeneous electron gas and for the electron-proton plasma. A similar analysis using the Bohm-Pines method has been recently performed by Gaudoin *et al.* [20], however, without going beyond the Slater-Jastrow wave function. Studying the problem of a single electron in the potential generated by a simple cubic lattice of protons, we show that the exact one-electron wave function can be approximately rewritten by a backflow function. Finally, we optimize numerically simple functional forms for the backflow functions in the full many-body problem by variational Monte Carlo. We compare the quality of the wave functions stemming from these different approaches for the electron gas and for liquid and crystal hydrogen at the level of variational and diffusion Monte Carlo.

In the following we consider the nonrelativistic Hamiltonian of  $N$  protons and  $N$  electrons:

$$\hat{H} = - \sum_i \lambda_i \nabla_i^2 + \sum_{i < j} \frac{e_i e_j}{r_{ij}}, \quad (1)$$

where  $\lambda_i = \hbar^2/(2m_i)$ ,  $i = 1, \dots, 2N$ , and  $m_i$  and  $e_i$  are the electron or proton mass and charge. The Fermi wave vector

is  $k_F$ . Numerical results are given in atomic units where  $\lambda_e = 1/2$  and  $\lambda_p = 0$  for classical protons,  $|e_i| = m_i = 1$ . The electron density  $n = N/V$  is quoted in terms  $r_s = a/a_0$ , where  $a = (4\pi n/3)^{-1/3}$  and  $a_0 = \hbar^2/m_e e^2$  is the Bohr radius. Energies of the QMC calculations are given in Rydbergs per electron.

## II. THE FEYNMAN-KACS APPROACH TO IMPROVING THE WAVE FUNCTION

The Feynman-Kacs formula expresses the exact wave function in terms of average over Brownian paths. We now review how it can be generalized to random walks with “drift.”

We define the “importance-sampled” Green’s function as  $\hat{G}_t = \psi \exp(-t\hat{H})\psi^{-1}$  in operator notation where  $\psi$  is an unsymmetrical trial function.  $\hat{G}_t$  acting on a function has the effect of enhancing the component of lower energy states. Then the lowest energy (exact) Fermi wave function  $\phi_F(R)$  is given by

$$\phi_F(R) \propto \hat{A}\psi(R) \lim_{t \rightarrow \infty} \int dR' \langle R' | \hat{G}_t | R \rangle \quad (2)$$

assuming only that the trial function has a nonzero overlap with  $\phi_F$  and that  $\phi_F$  is nondegenerate. Here  $\hat{A}$  is a projection operator for fermion symmetry defined as

$$\hat{A}f(R) = \frac{1}{N!} \sum_P (-1)^P f(PR) \quad (3)$$

and  $R = \{\mathbf{r}_1, \mathbf{r}_2, \dots\}$  is a point in configuration space. The electron spin is treated by restricting the permutation in Eq. (3) to be exclusively within spin up or spin down electrons.

Following the derivation in diffusion Monte Carlo [21], the Green’s function can be split into diffusion, drift, and branching processes. To show this, the master equation for Green’s function is written as

$$-\frac{d\hat{G}_t}{dt} = \psi \hat{H} \psi^{-1} \hat{G}_t = \left[ - \sum_i \lambda_i \nabla_i (\nabla_i + 2\nabla_i \ln \psi) + E(R) \right] \hat{G}_t. \quad (4)$$

The local energy  $E$ , defined as  $E(R) = \psi^{-1} \hat{H} \psi - E_0$ , is the residual error of the trial function, with  $E_0$  the ground state energy. It becomes zero function as  $\psi$  approaches an exact eigenvalue. Trotter’s formula applies to the above master equation, allowing us to split up the evolution into the first two terms describing a stochastic process, and the final term which is a branching or “weighting” process. Thus we have the generalized Feynman-Kacs formula (GFK):

$$\phi_F(R) \propto \mathcal{A}\psi(R) \left\langle \exp \left[ - \int_0^\infty dt E(R(t)) \right] \right\rangle \quad (5)$$

where the brackets imply averaging over all drifting random walks  $R(t)$  beginning at a point  $R$ . The above relation is exact for any real trial function. For trial functions having an

imaginary component of  $\nabla \ln \psi$ , the formalism goes through, however, Green's function is no longer real and positive and therefore cannot be treated as a probability. (Note that we do not imply that real trial functions are needed in QMC.) To simplify the discussion of the derivation of the GFK formula, we will assume the drift term is real. Later in this section, we will comment on the conditions under which this assumption is unnecessary.

To make further analytical progress, we take the average into the exponent. For any stochastic process, one can write the average of the exponent as the exponential of the cumulant expansion, the first two terms of which are

$$\phi_F(R) \propto \mathcal{A}\psi(R) \exp[-\langle\langle E \rangle\rangle + (1/2)\langle\langle \delta E^2 \rangle\rangle \dots]. \quad (6)$$

The double brackets are defined as  $\langle\langle E \rangle\rangle = \langle \int_0^\infty dt E(R(t)) \rangle$  with walks  $R(t)$  generated from the drift and diffusion starting at a point  $R$ . We truncate the cumulant expansion after the first term. We then have an approximate method of improving the trial function:

$$\psi^{(n+1)} = \psi^{(n)} e^{-\langle\langle E^{(n)} \rangle\rangle_n} \quad (7)$$

with the subscript indicating that the drift is given by  $\nabla \ln \psi^{(n)}$ . If we split the log of the trial function into its real and imaginary parts  $\psi^{(n)} = \exp(-M^{(n)} + iS^{(n)})$  with  $M^{(n)}$  and  $S^{(n)}$  real, we are led to the following equations for a single iteration:

$$M^{(n+1)} = M^{(n)} + \left\langle \left\langle V + \sum_i \lambda_i [\nabla_i^2 M^{(n)} - (\nabla_i M^{(n)})^2 + (\nabla_i S^{(n)})^2] \right\rangle \right\rangle_n, \quad (8)$$

$$S^{(n+1)} = S^{(n)} + \left\langle \left\langle \sum_i \lambda_i [\nabla_i^2 S^{(n)} - 2\nabla_i M^{(n)} \nabla_i S^{(n)}] \right\rangle \right\rangle_n. \quad (9)$$

Here  $V(R)$  is the total potential energy.

Specializing to the case of a Fermi liquid, we take as an initial wave function  $M^{(0)} = 0$  and  $S^{(0)} = \sum_i \mathbf{k}_i \cdot \mathbf{r}_i$ , i.e., singly occupied free particle states. (The usual spin functions are assumed but not explicitly written.) Note that this function is an unsymmetrical trial function, with a nonzero overlap with a fermion state as long as all the  $\mathbf{k}_i$ 's are distinct. When the wave function is antisymmetrized, one gets a determinant of plane waves. However, the antisymmetrization will be done only once, *after* the trial function has gone through several iterations of Eq. (7). This may simplify the procedure, since the local energy of the unsymmetric trial function is much simpler than that of an antisymmetric trial function. Note that in Eq. (5) both the antisymmetrization and the averaging are linear operators and so can be interchanged.

After the first iteration, the wave function will have the form:

$$M^{(1)} = \langle\langle V(R) \rangle\rangle_0 \equiv U(R), \quad (10)$$

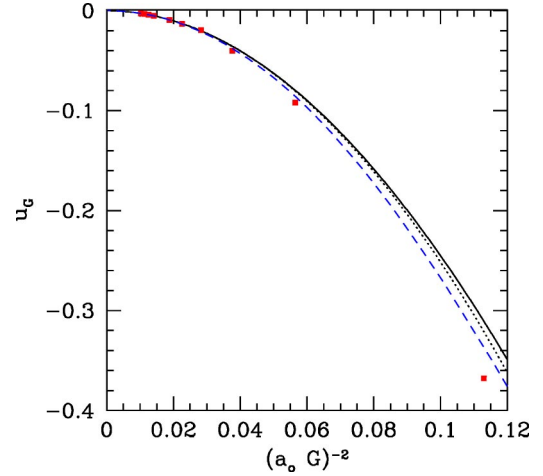


FIG. 1. (Color online) The electron-proton Jastrow factor  $u_G^{ep}$  vs  $(a_0 G)^{-2}$  from band calculations of solid cubic hydrogen at  $r_s = 1.31$  (squares), Eq. (53). The rightmost square is the first reciprocal lattice vector. This is compared with the RPA (Gaskell) form (solid line) Eq. (A1) and cumulant form (dotted line)  $1/G^4$  and the improved analytic form [Eq. (A13)] (dashed line).

$$S^{(1)} = S^{(0)}. \quad (11)$$

In the above equation and the following discussion we drop, without mention, constant normalization terms. If  $V(R) = \sum_{i<j} v(r_{ij})$  is a pair potential, with a Fourier transform  $v_k$ , the averaging can be carried out analytically with a result that  $M^{(1)}$  will also be a pair potential and will have a Fourier transform given by  $v_k/(\lambda k^2)$  where  $\lambda = \lambda_i + \lambda_j$ . For a Coulomb potential the real-space correlation (Jastrow) function will then have the form  $u_C(r) = -e^2 r/2\lambda$ .

Hence, the form of the first-order wave function is of the SJ-PW or Slater-Jastrow form, with free particle orbitals. In the remainder of the paper, the pair term will be denoted by  $U$  with  $U = \sum_{i<j} u(r_{ij})$ . Typically the form of  $u$  is derived from a variational principle, chosen such that either the total energy or variance is minimized. This will, of course, give a lower energy than the cumulant form derived above. The above derivation does give the correct cusp condition (the limit of  $u$  at large  $k$  or small  $r$ ). However, it does not give the long-wavelength limit correctly because of the neglect of the higher cumulants. Gaskell [22] proposed an analytic form based on the random phase approximation (RPA) without any parameters. It was found [23] for the homogeneous electron gas that the RPA form does, as well as, or better than simple assumed forms with parameters. Figure 1 shows a comparison of these correlation functions.

Note that the cumulant approximation will not exist if the Fourier transform of the potential does not exist. Two examples of such potentials are the hard sphere and Lennard-Jones interactions. However, for the short-range part of a soft potential which does have a Fourier transform such as the Yukawa potential, the cumulant approximation works quite well (see remarks concerning the situation at finite temperature in Ref. [24]).

We now perform the next iteration of this procedure. To minimize the fluctuations in the local energy so that the cu-

mulant approximation will be more accurate, we assume that the first-order wave function has been optimized but it still has a pair-product form. Using Eqs. (8) and (9), neglecting constants, and combining pair terms together, we get in second order a function of the form

$$M^{(2)} = \tilde{U}(R) - \left\langle \left\langle \sum_i \lambda_i [\nabla_i U(R)]^2 \right\rangle \right\rangle_1 \quad (12)$$

and

$$S^{(2)} = \left\langle \left\langle \sum_i \mathbf{k}_i \cdot (\mathbf{r}_i - 2\lambda_i \nabla_i U) \right\rangle \right\rangle_1. \quad (13)$$

Here  $\tilde{U}$  includes additional pair terms not contained in  $U$ .

At second order, we cannot perform the averaging analytically, since it involves drift under the influence of the first-order wave function:  $M^{(1)}$ . We make the assumption that the averaging will not change the functional form of the quantity being averaged but only smoothes out the individual functions. That is, our ansatz for the iterated wave function is

$$M^{(2)} = U(R) - \sum_i (\nabla_i W)^2 \quad (14)$$

and

$$S^{(2)} = \sum_i \mathbf{k}_i \cdot (\mathbf{r}_i - \nabla_i Y), \quad (15)$$

where  $U$ ,  $W$ , and  $Y$  are three different pair “potentials” to be optimized. In the following, we have adopted the convention that pair functions have the same sign as  $v_{ij}(r)$ , so that, for example, a repulsive  $v$  leads to a repulsive  $w$  and  $y$ .

The two new functions appearing at second order are the backflow function  $Y$  and the three-body or polarization term  $W$ . The backflow potential is

$$Y = \sum_{i < j} y(r_{ij}), \quad (16)$$

where  $y(r)$  is a spherically symmetric function and the sum extends over all pairs of particles, including both electrons and protons. The backflow displacement is defined as the gradient of the backflow potential with respect to a particle coordinate:

$$\Delta \mathbf{r}_i = -\nabla_i Y = \sum_{j \neq i} \eta(r_{ij})(\mathbf{r}_i - \mathbf{r}_j) \quad (17)$$

where

$$\eta(r) = -\frac{1}{r} \frac{dy(r)}{dr} \quad (18)$$

corresponds to the definition in previous work for homogeneous systems [18,10].

With this ansatz, the antisymmetized trial function is a determinant composed of “quasiparticle” coordinates:

$$\psi_F^{(2)} = \det[\exp i \mathbf{k}_j \cdot (\mathbf{r}_i + \Delta \mathbf{r}_i)] e^{-U(R) + (\nabla W)^2}. \quad (19)$$

Recall that in the fixed-node or fixed-phase diffusion Monte Carlo method, one obtains the exact energy subject to the imposed constraint [1,25]. The assumed node or phase limits the ultimate accuracy for fermion systems. Since the correction to the real part, the three-body term, is already symmetric, it is the backflow which is responsible for the change of node or phase of the trial function and is, in that sense, more important than the Jastrow and polarization part.

In the above derivation we have neglected any effects of a complex drift velocity. However, as already shown by Ortiz and Ceperley [26], a complex drift velocity does not affect the corrections to the wave function to the order we have considered; Eqs. (8) and (9) are valid to improve the wave function.

Now we consider how to treat possible long-range pair functions. In periodic boundaries (or “supercells”) we need to perform Ewald summations of the functions  $V, U, W, Y$ . This is most convenient in Fourier space. We define the Fourier transform of a radial function as

$$\tilde{y}_k = \int d\mathbf{r}^3 e^{-i\mathbf{k} \cdot \mathbf{r}} y(r). \quad (20)$$

Using the Poisson sum formula, the “potential” of the  $i$ th particle in periodic boundary conditions is

$$y_i = \frac{1}{V} \sum_{\mathbf{k}, j} \tilde{y}_k e^{i\mathbf{k} \cdot (\mathbf{r}_i - \mathbf{r}_j)}, \quad (21)$$

where  $V$  is the volume of the supercell. For example, to find the backflow displacement, Eq. (17), we simply take the gradient of the pair function:

$$\Delta \mathbf{r}_i = -\frac{1}{V} \sum_{\mathbf{k}, j} i\mathbf{k} \tilde{y}_k e^{i\mathbf{k} \cdot (\mathbf{r}_i - \mathbf{r}_j)}, \quad (22)$$

where  $\mathbf{k}$  ranges over the reciprocal lattice vectors of the supercell.

The three-body potential  $W$  is defined analogously in terms of a pair polarization  $w(r)$ . This function is related to that used in previous QMC work [16–18,10] by

$$\sqrt{|\lambda_T|} \xi(r) = \frac{1}{r} \frac{dw(r)}{dr}. \quad (23)$$

The overall sign of  $w$  is not important because only its square appears in the trial function, but the relative sign of the electron-electron to the electron-proton interaction is significant.

One of the simple ways of deriving conditions on the backflow function is to look at the action of the Hamiltonian on the wave function, the local energy, and to minimize the fluctuations of the local energy. Here we focus on the imaginary part of the local energy and consider a single electron with phase  $S = \mathbf{q} \cdot (\mathbf{r} - \nabla Y)$ . Setting to zero the imaginary part of the local energy we obtain

$$\nabla \nabla^2 y(r) + 2 \nabla u(r) - 2 \nabla u(r) \nabla \nabla y(r) = 0. \quad (24)$$

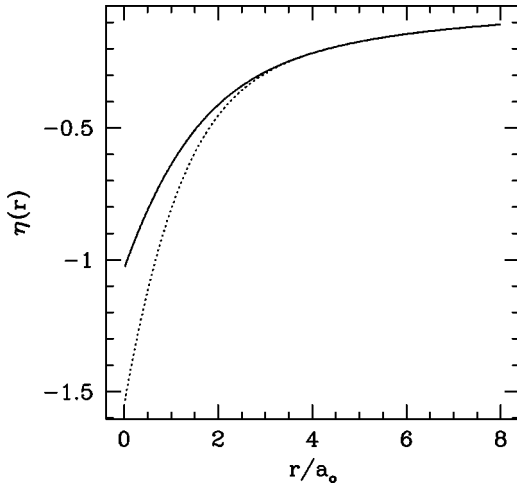


FIG. 2. The  $u^{ep}(r)$  using the RPA (Gaskell) form (dotted line) and  $\eta(r)$  (solid line) from smoothing it with  $k^{-2}$  for the ep correlation at  $r_s = 1.31$ , both computed for an infinite system. Note that in this approximation they both tend to the same limit at large  $r$ .

Neglecting the last term, since it is higher order in the interaction, we obtain  $\nabla^2 y(r) = -2u(r)$ . We have set the integration constant to zero in order to avoid a singular contribution proportional to  $r^{-1}$  at the origin which would give rise to large fluctuations of the local energy. The solution in Fourier space is  $y_k = 2u_k/k^2$ . Therefore,  $y(r)$  is smoother than  $u(r)$  at  $r=0$ . We get the same smoothing ( $k^{-2}$ ) that we observed at first order for the pair function. Shown in Fig. 2 are the  $u(r)$  and  $\eta(r)$  functions coming from this approach. Note that this approach is based on a single-electron description and therefore does not correctly describe the long-wavelength (large  $r$ ) behavior where the collective motion dominates.

To obtain a simple form for the three-body potential, we note that the averages used in the definition of  $Y$  are similar to those for  $W$ , see Eqs. (12)–(15). Hence an estimate of the polarization potential is

$$W = -\sqrt{\lambda} k_F Y, \quad (25)$$

where we have approximated  $\langle\langle(\nabla_i U)^2\rangle\rangle \approx \langle(\nabla_i U)\rangle^2/\tau$ , averaged over a “typical” time  $\tau \approx (\lambda k_F^2)^{-1}$ . This relates the three-body contribution to the backflow potential.

The generalized Feynman-Kacs approach is good for suggesting corrections, but there are serious problems in using it to find a good backflow function since the averaging is difficult to carry out, the linear cumulant approximation may be inadequate, and the long-time effects of the imaginary drift are being ignored. If one cannot analytically perform the averaging, one does not know by what time to multiply the local energy to get a wave function, nor the relative corrections at large versus small distances. We now discuss several other approaches which allow us to directly evaluate the Jastrow, three-body, and backflow functions and give more insight into their form.

### III. PERTURBATION THEORY/ANALYTIC METHODS

In this section we follow another approach to obtaining improved estimates of the many-body wave function. Many-body perturbation theory is a well studied approach to understanding the effects of weak correlation. Encouraged by the use of the RPA [22] which gave an excellent analytic two-body correlation function, we will extend this wave function by perturbative expressions for the Jastrow, backflow, and three-body potentials for the electron gas and for metallic hydrogen. Rather than performing a systematic low or high density expansion to derive analytical expressions for the variational wave function of the electron gas or metallic hydrogen, we concentrate on improving this correlation factor. The collective coordinate formulation of Bohm and Pines [20,27] allows us to use Slater-Jastrow wave functions as zeroth-order starting point. We obtain improved potentials for the homogeneous electron gas and metallic hydrogen, which compare very well with numerically optimized forms.

Even if perturbation theory assumes a weak coupling (or high density) expansion, we expect the derived properties to be qualitatively valid as long as the corresponding perturbation expansion remains regular, e.g., until there is a phase transition to an insulating phase.

#### A. Single-particle perturbation theory

Consider a single electron interacting with an arbitrary external potential  $v(\mathbf{r})$  with Fourier transform  $\tilde{v}(\mathbf{k})$ . To avoid the problems arising from the long-range behavior of the Coulomb interaction, we restrict the analysis to a potential with a Fourier transform which is finite at the origin,  $|\tilde{v}(0)| < \infty$ , e.g., a screened Coulomb potential. We use the continuum notation in this section  $[(1/V)\sum_k \leftrightarrow \int d^3k/(2\pi)^3]$ . The solution of the Schrödinger equation  $\phi_{\mathbf{k}}(\mathbf{r})$  of a particle with wave vector  $\mathbf{k}$ ,

$$\phi_{\mathbf{k}}(\mathbf{r}) = \frac{1}{(2\pi)^3} \int d^3\mathbf{p} c_{\mathbf{k}}(\mathbf{p}) \exp[i\mathbf{p} \cdot \mathbf{r}], \quad (26)$$

can be written as

$$c_{\mathbf{k}}(\mathbf{p}) = (2\pi)^3 \delta(\mathbf{k} - \mathbf{p}) + \frac{4\pi f(\mathbf{k}, \mathbf{p})}{k^2 - p^2 + i\delta}, \quad (27)$$

where the off-shell scattering amplitudes  $f(\mathbf{k}, \mathbf{p})$  are given by the integral equation

$$4\pi\lambda f(\mathbf{k}, \mathbf{p}) = \int \frac{d^3\mathbf{k}'}{(2\pi)^3} \tilde{v}(\mathbf{p} - \mathbf{k}') c_{\mathbf{k}}(\mathbf{k}'), \quad (28)$$

where  $\lambda = \hbar^2/2m_e$  for the single electron in an external potential or, more generally,  $\lambda = \lambda_i + \lambda_j$  for a two-particle problem. Using the Born approximation we can write down the wave function to first order in  $\tilde{v}$ ,

$$\begin{aligned}\phi_{\mathbf{k}}(\mathbf{r}) &\approx \phi_{\mathbf{k}}^{(0)}(\mathbf{r}) + \phi_{\mathbf{k}}^{(1)}(\mathbf{r}) \\ &= e^{i\mathbf{k}\cdot\mathbf{r}} \left( 1 - \frac{1}{\lambda} \int \frac{d^3\mathbf{p}}{(2\pi)^3} e^{i\mathbf{p}\cdot\mathbf{r}} \frac{\tilde{v}(p)}{\mathbf{p}\cdot(\mathbf{p}+2\mathbf{k})} \right). \quad (29)\end{aligned}$$

If we expand the solution around  $\mathbf{k}=0$  and assume that the change in the wave function is small, we can write it in the pair-product and backflow form, Eq. (19). We obtain for the pair potential

$$u(\mathbf{r}) \approx \int \frac{d^3\mathbf{p}}{(2\pi)^3} e^{i\mathbf{p}\cdot\mathbf{r}} \frac{\tilde{v}(p)}{\lambda p^2}, \quad (30)$$

and for the backflow potential

$$y(\mathbf{r}) \approx 2 \int \frac{d^3\mathbf{p}}{(2\pi)^3} e^{i\mathbf{p}\cdot\mathbf{r}} \frac{\tilde{v}(p)}{\lambda p^4}. \quad (31)$$

Note that the small  $\mathbf{p}$  part of the integral is usually cut off by the finite size of the box. In addition, it is  $\eta(r)$ , the derivative of  $y(r)$  [see Eq. (18)] which enters in the trial function.

Although the first-order approximation is only reliable in the case of a weak potential, it becomes correct in the high momentum region and hence, gives the correct cusp conditions. The derived form is identical to that obtained from the Feynman-Kacs formula in the preceding section. For an arbitrary weak potential, we further get the long-range behavior,  $u \propto \tilde{v}(0)/r$  and  $\eta \propto 1/r^3$  for  $r \rightarrow \infty$ , provided the potential has a finite range [ $\tilde{v}(\mathbf{q}) - \tilde{v}(0) \propto q^2$  for  $q \rightarrow 0$ ] and there is no other singularity in the integrand.

To find an approximate form for the three-body function  $W(\mathbf{r})$  we must go to higher order in the interaction, but only at  $\mathbf{k}=0$ . Using Eqs. (27) and (28), we can write down the second-order corrections in  $\tilde{v}$  to the wave function,  $\phi_{\mathbf{k}}^{(2)}$  at  $\mathbf{k}=0$ :

$$\phi_{\mathbf{k}=0}^{(2)}(\mathbf{r}) = \frac{1}{\lambda^2} \int \frac{d^3\mathbf{q}}{(2\pi)^3} \frac{d^3\mathbf{p}}{(2\pi)^3} \frac{\tilde{v}(q) e^{i\mathbf{q}\cdot\mathbf{r}} \tilde{v}(p) e^{i\mathbf{p}\cdot\mathbf{r}}}{(\mathbf{q}+\mathbf{p})^2 p^2} \quad (32)$$

$$\begin{aligned}&\approx \int \frac{d^3\mathbf{q}}{(2\pi)^3} \frac{d^3\mathbf{p}}{(2\pi)^3} \frac{\tilde{v}(q) e^{i\mathbf{q}\cdot\mathbf{r}}}{\lambda q^2} \\ &\times \left( 1 - \frac{2\mathbf{q}\cdot\mathbf{p}}{q^2} \right) \frac{\tilde{v}(p) e^{i\mathbf{p}\cdot\mathbf{r}}}{\lambda p^2}. \quad (33)\end{aligned}$$

This is almost in the form of the three-body correlation obtained with the GFK approach:  $(\nabla w)^2$ . Note, however, that Eq. (33) is unsymmetrical in  $\mathbf{q}$  and  $\mathbf{p}$  so that in  $\mathbf{r}$  space it will be written as  $(\nabla w_u) \cdot (\nabla w_y)$  with  $w_u(\mathbf{r}) \approx u(r)$  and  $w_y(\mathbf{r}) \approx y(\mathbf{r})$ . Therefore the polarization term is not a square but a product of the gradients of two different functions. (In the second order one will also find a contribution  $\propto [u(r)]^2$  to the pair term.)

The perturbative expressions (30) and (31) are based on the Born approximation for scattering between free states. However, an attractive potential as the electron-proton (effective) interaction might also lead to bound states. To include the effects of a possible bound state we can use the

nonperturbative expression (28) for the scattering amplitudes: given an approximate expression for the bound state wave function of energy  $\epsilon_k = -\lambda k^2$ , we can calculate the scattering amplitudes and obtain corrections from the bound state to the pair and backflow potential in the same way as shown above for the scattering states within the Born approximation. In a similar way one should proceed to obtain approximations for the pair and backflow potentials for systems where the interatomic potentials cannot be treated within the Born approximation, for example, potentials dominated by a hard core.

Of course, in the case of a single electron in an external potential we can solve the Schrödinger equation by other means and obtain the “best” pair and backflow potentials from the exact (numerical) solution. This is done below for a perfect crystal using a band structure calculation. However, the simple perturbative approach above provides an easy way to get some intuition for the pair and backflow potential, and is already good enough to determine their asymptotic properties. These properties are expected to hold in the many-body case: the short-range properties are typically determined by two-body collisions and the influence of the remaining particles on the long-range properties is usually well described by an effective single-particle potential. Many-body perturbation theory, which we discuss next, leads to similar expressions.

## B. Many-body perturbation theory

We now make an expansion of the exact  $N$ -particle wave function  $|\phi\rangle$  of the interacting system around the noninteracting (ground) state  $|\phi_0\rangle$ ; the ground state without either the electron-electron or the electron-proton interaction. Let  $a_k$  ( $a_k^\dagger$ ) be the annihilation (creation) operator for an electron of wave vector  $k$ . Expanding in particle-hole excitations, we have

$$|\phi\rangle \propto \left( 1 + \sum_{q,k_1,k_2} \alpha_{k_1,k_2,q} a_{k_2-q}^\dagger a_{k_2}^\dagger a_{k_1+q}^\dagger a_{k_1} + \dots \right) |\phi_0\rangle. \quad (34)$$

The problem is reduced to determining the coefficients  $\alpha_{k_1,k_2,q}$ . Just as in the single-particle case, a further expansion of  $\alpha_{k_1,k_2,q}$  around  $k_1=0$  or  $k_2=0$  together with an exponentiation brings the wave function into the desired functional form, thereby determining the pair and backflow potentials. To avoid overcounting, we assume that the summation in Eq. (34) goes only over distinct states so that it is sufficient to antisymmetrize the wave function at the very end, once we have calculated the perturbative corrections. We have limited the expansion in Eq. (34) to the leading order corrections, particle-hole excitations; the generalization to include higher-order excitations is straightforward, but not necessary to calculate the pair and backflow terms in the wave function.

In order to determine the coefficients, we write  $|\Phi_{k_1,k_2,q}\rangle = a_{k_2-q}^\dagger a_{k_2}^\dagger a_{k_1+q}^\dagger a_{k_1} |\phi_0\rangle$  and multiply these states by a constant phase

$$\alpha_{k_1, k_2, q} = \langle \Phi_{k_1, k_2, q} | \phi \rangle \frac{\langle \phi | \phi_0 \rangle \langle \Phi_{k_1, k_2, q}^{ee} | \phi \rangle}{\langle \phi | \phi_0 \rangle \langle \phi_0 | \phi \rangle}. \quad (35)$$

Note that with this phase factor, the right hand side of Eq. (35) is given by the expectation value of an operator over the true ground state and the coefficients can therefore be identified as an  $N$ -particle Green's function [28]. By considering only particle-hole excitations in Eq. (34), the  $N$ -particle Green's function reduces to a connected two-particle Green's function and the lowest order modifications to the ideal gas ground state of the homogeneous electron gas are therefore related to the two-particle Green's function  $G^{(2)}$  at equal times [28] or equivalently

$$\alpha_{k_1, k_2, q} \approx \frac{\langle \phi | a_{k_2 - q}^\dagger a_{k_2} a_{k_1 + q}^\dagger a_{k_1} | \phi \rangle}{\langle \phi | \phi \rangle}. \quad (36)$$

Summing up particle-hole bubble diagrams (corresponding to the RPA) results in an effective interaction,  $\tilde{v}_{RPA}(p, \omega)$ ,

$$\tilde{v}_{RPA}(p, \omega) = \frac{\tilde{v}(p)}{\epsilon(k, \omega)}, \quad \epsilon(k, \omega) = 1 - \tilde{v}(p)D(p, \omega), \quad (37)$$

where  $D(p, \omega)$  is the Lindhard function. Perturbation theory can now be arranged to be regular [29]. We note that Eq. (37) already contains the correct short- and long-range limits of the effective interaction.

Neglecting for the moment any contributions from plasmon excitations coming from the poles where  $\epsilon(k_p, \omega_p(k_p)) = 0$ , we get

$$\alpha_{k_1, k_2, q} = (1 - n_{k_1 - q})n_{k_1}(1 - n_{k_2 + q})n_{k_2} \times \frac{\tilde{v}_{RPA}(q, \epsilon_{k_1} - \epsilon_{k_1 - q}) + \tilde{v}_{RPA}(q, \epsilon_{k_2} - \epsilon_{k_2 + q})}{2(\epsilon_{k_1} + \epsilon_{k_2} - \epsilon_{k_1 - q} - \epsilon_{k_2 + q})}, \quad (38)$$

where  $n_k$  are the occupation numbers of state  $k$  in lowest order. Expanding around  $k_1 = k_2 = 0$ , we get the Jastrow and the backflow potential. Including the plasmon excitations will give an important long-range contribution. However, in the simplest approximation, this contribution describes only the long-wavelength limit correctly, and destroys the correct short distance behavior. We will circumvent this problem in the following section using the formalism of collective coordinates.

As already shown in the preceding section, we expect a more general form for the three-body potential,

$$\phi \propto \det[\exp i\mathbf{k}_j \cdot (\mathbf{r}_i + \Delta\mathbf{r}_i)] e^{-U(R) + W} \quad (39)$$

with

$$W = \sum_j (\nabla_j W_u)(\nabla_j W_y) - 2 \sum_{i < j} [\nabla_j w_u(r_{ij})][\nabla_j w_y(r_{ij})], \quad (40)$$

TABLE I. Asymptotic properties of the Jastrow and backflow functions for the 3D electron gas.  $\lambda = \hbar^2/2m_e$ ,  $n$  is the electron density,  $y_2 \approx 0.055r_s$ , and  $c(r_s) \approx 1 + 0.075\sqrt{r_s}/(1 + 0.8\sqrt{r_s})$ .

Function	$r \rightarrow 0$	$r \rightarrow \infty$	$k \rightarrow 0$	$k \rightarrow \infty$
$v$	$e^2/r$	$e^2/r$	$4\pi e^2/k^2$	$4\pi e^2/k^2$
$u$	$u_0 - \frac{e^2 r}{4\lambda}$	$\sqrt{\frac{e^2}{8\pi n \lambda}} \frac{1}{r}$	$\sqrt{\frac{v_k}{2n\lambda k^2}}$	$\frac{v_k}{2\lambda k^2}$
$y$	$y_0 - y_2 r^2 + \frac{e^2}{48\lambda} r^3$	$\frac{c(r_s)}{4\pi n r}$	$\frac{c(r_s)}{n k^2}$	$\frac{v_k}{2\lambda k^4}$
$\eta$	$2y_2 - \frac{e^2}{16\lambda} r$	$\frac{c(r_s)}{4\pi n r^3}$		

where

$$w_u(r) \approx u(r), \quad w_y(r) \approx y(r). \quad (41)$$

For the interactions of the electrons with static protons, we can use the static dielectric function  $\epsilon(k, 0)$  to obtain the effective electron-proton interaction, and use directly the results of the single-particle perturbation theory of the preceding section with this screened potential.

The disadvantage of perturbation theory is that one gets correct behavior at long and short distances, but it does not provide a unique way to interpolate between these limits. In Table I we summarize the asymptotic properties of the pair and backflow potentials for the three-dimensional (3D) electron gas.

### C. The Bohm-Pines collective coordinate approach

Instead of replacing the established form for the Jastrow part proposed by Gaskell [22] by the direct use of Eq. (38), we prefer to improve the RPA form of Gaskell by extending it using perturbative formulas. This is most easily done within the framework of the collective coordinate description of Bohm and Pines using additional field variables [27]. In this approach, the original Hamiltonian of electrons interacting with each other and with static protons is extended by an additional boson field with generalized momentum variables  $\Pi_k$  coupling to the electron and proton density fluctuations

$$H = \sum_i \lambda p_i^2 + \frac{1}{2V} \sum_k \tilde{v}_k (\rho_k^e \rho_k^e - N) - \frac{1}{V} \sum_k \tilde{v}_k \rho_{-k}^e \rho_k^p + \frac{1}{V} \sum_k \left( \frac{\Pi_k^\dagger \Pi_k}{2} + M_k \Pi_k^\dagger \rho_k^e + P_k \Pi_k^\dagger \rho_k^p \right), \quad (42)$$

where  $\rho_k^e$  ( $\rho_k^p$ ) is the Fourier transform of the electron (proton) density,  $\rho_k = \sum_i e^{-i\mathbf{k} \cdot \mathbf{r}_i}$ , and  $M_k$  and  $P_k$  are variational parameters. By imposing the extra conditions  $\Pi_k \Psi = 0$  on the wave function, the ground state wave function of the new extended Hamiltonian will be identical to the original one. For a detailed description of this approach we refer to the original literature [27]; we will only describe the main steps.

Carrying out the following canonical transformation:

$$\phi_{old} = \exp[iS/\hbar] \phi_{new}, \quad S = \frac{1}{V} \sum_k (M_k \rho_k^e + P_k \rho_k^p) Q_k, \quad (43)$$

where  $Q_k$  represents the field coordinate conjugate to  $\Pi_k$ , we obtain an equivalent Hamiltonian

$$H = \sum_i \lambda_e p_i^2 + \frac{1}{V} \sum_k \left( \frac{\Pi_k^\dagger \Pi_k}{2} + \lambda_e n k^2 M_k^2 Q_k^\dagger Q_k \right) + H_{sr}^{ee} + H_{int} + H_{rw} + H_{sr}^{ep}, \quad (44)$$

where

$$H_{sr}^{ee} = \frac{1}{2V} \sum_k (\tilde{v}_k - M_k^2) (\rho_{-k}^e \rho_k^e - N), \quad (45)$$

$$H_{int} = i \frac{1}{V} \sum_{k,j} \left( \frac{\mathbf{k} \cdot \mathbf{p}_j}{m} + \frac{\hbar k^2}{2m} \right) M_k Q_k e^{-i\mathbf{k} \cdot \mathbf{r}_j}, \quad (46)$$

$$H_{rw} = \frac{\lambda}{V^2} \sum_{k \neq k', j} Q_k^\dagger Q_{k'} M_k M_{k'} \mathbf{k} \cdot \mathbf{k}' e^{i(\mathbf{k} - \mathbf{k}') \cdot \mathbf{r}_j}, \quad (47)$$

$$H_{sr}^{ep} = -\frac{1}{V} \sum_k (\tilde{v}_k - M_k P_k) \rho_{-k}^e \rho_k^p. \quad (48)$$

Now the ground state of the additional field in the zeroth order Hamiltonian, Eq. (44), is simply given by harmonic oscillator ground states of frequencies  $V_k = (n k^2 M_k^2 / m)^{1/2}$ ,

$$\phi_{new}^0 = \det[\exp[i\mathbf{k}_i \cdot \mathbf{r}_j]] \exp \left[ -\frac{1}{V} \sum_k \frac{\Pi_k^\dagger \Pi_k}{2\hbar V_k} \right]. \quad (49)$$

Transforming back and applying the subsidiary conditions replaces the field operator  $\Pi_k$  by  $M_k \rho_k^e + P_k \rho_k^p$  and the zeroth order wave function is in the Slater-Jastrow form

$$\phi_{old}^0 = \det[\exp[i\mathbf{k}_i \cdot \mathbf{r}_j]] \times \exp \left[ -\frac{1}{V} \sum_k \frac{M_k^2 \rho_{-k}^e \rho_k^e + 2M_k P_k \rho_{-k}^e \rho_k^p}{2\hbar V_k} \right] \quad (50)$$

up to a constant factor. Instead of using  $M_k = (\tilde{v}_k)^{1/2} \theta(k_c - k)$  for the long-wavelength part up to  $k_c$ , and optimizing the cutoff  $k_c$ , as done in the original work of Bohm and Pines, we can use  $\tilde{u}_k^{ee}$  and  $\tilde{u}_k^{ep}$  for the electron-electron (ee) and electron-proton (ep) Jastrow part taken in the RPA [2] and relate these functions to  $M_k$  and  $P_k$ . The resulting residual electron-electron and electron-proton interaction is screened, since  $M_k^2 \rightarrow \tilde{v}_k$  and  $M_k P_k \rightarrow \tilde{v}_k$  in the long-wavelength limit  $k \rightarrow 0$ .

A second unitary transformation using

$$S = \frac{1}{V} \sum_{k,j} M_k \frac{\mathbf{k} \cdot \mathbf{p}_j}{m \omega_p(k) [\hbar \omega_p(0) + \epsilon_k]} \Pi_k^\dagger e^{-i\mathbf{k} \cdot \mathbf{r}_j} \quad (51)$$

eliminates  $H_{int}$  to first order. Here,  $\omega_p(k)$  is the plasmon frequency at wave vector  $k$ . Note that this transformation brings the wave function into the backflow form. Furthermore, we treat the remaining terms of the Hamiltonian perturbatively as shown in the preceding section.

The detailed functions we used for the electron gas and for metallic hydrogen are given in the Appendix and the numerical tests are given in Sec. V.

#### IV. COMPARISON WITH THE BAND STRUCTURE WAVE FUNCTION

In this section, we consider another approach of generating backflow functions. As in the discussion of the single-particle perturbation theory in the last section, we consider a perfect lattice of protons in which a single electron moves. It is straightforward to expand the wave function in plane waves and obtain a precise numerical solution of the one electron problem by diagonalization of the Hamiltonian matrix. We study to what extent we can recast the ‘‘band structure’’ wave function into a backflow form. The advantage of this approach is that we are evaluating the entire nonlinear effect of a lattice of protons on the electron wave function, or orbital, which for a perfect lattice is a Bloch wave. However, effects of electron correlation or screening are absent for this model.

As was done in Eq. (26), the exact one-electron wave function is expanded in plane waves:

$$\phi_{\mathbf{k}}(\mathbf{r}) = \sum_{\mathbf{G}} c_{\mathbf{k},\mathbf{G}} e^{i(\mathbf{G}+\mathbf{k}) \cdot \mathbf{r}}, \quad (52)$$

where  $\mathbf{G}$  is a reciprocal vector of the lattice and  $\mathbf{k}$  is the crystal momentum. We then obtain numerical values for  $c_{\mathbf{k},\mathbf{G}}$  by conventional diagonalization of the Hamiltonian in this basis.

First, we study the wave function at  $\mathbf{k}=0$  to determine the pair part of the wave function,  $U$ . Neglecting the three-body term we have

$$\sum_i u(|\mathbf{r} - \mathbf{z}_i|) = -\ln[\phi_0(\mathbf{r})], \quad (53)$$

where  $\mathbf{z}_i$  are the proton positions. Then by Fourier transforming and assuming a Bravais lattice,

$$u_{\mathbf{G}} = -\int_V d^3r e^{-i\mathbf{G} \cdot \mathbf{r}} \ln[\phi_0(\mathbf{r})]. \quad (54)$$

This is shown in Fig. 1 and compared to the RPA form (solid line) and cumulant form. Note that we only obtain information about  $u_{\mathbf{k}}$  at values of  $\mathbf{k}$  on the reciprocal lattice. It is seen that except for the first few reciprocal lattice vectors, the pair wave function is determined by the cusp behavior. The noncusp behavior is due to the neglect of higher-order terms in the cumulant expansion. Some effects are picked up by the three-body term of the wave function. We note that even for the largest lattice vector, the values seem to follow a smooth curve, independent of the lattice directions. The



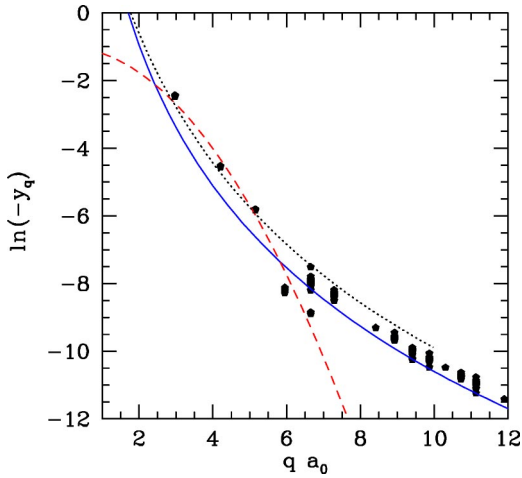


FIG. 3. (Color online) The backflow function  $y_q$  vs the wavevector  $q$  in atomic units for solid cubic hydrogen lattice at  $r_s = 1.31$ . The solid line is the cumulant approximation:  $y_q = -16\pi/q^6$ . The dashed line is the backflow function optimized for an interacting  $N$ -body hydrogen with a Gaussian form. The dotted line is from many-body perturbation theory, Eq. (A11), derived in the Appendix. The solid symbols are  $Y_{\mathbf{k},\mathbf{q}}$  with  $\phi_{\mathbf{k}}$  determined with a band theory calculation. All values of  $\mathbf{q}$  on the reciprocal lattice are plotted and four different values of  $\mathbf{k}$  are used having magnitudes  $0.01 \leq k \leq 0.05$  and with four different directions.

$\mathbf{k}=0$  component, though important, will not affect the many-body nodal structure or the correlation effects near the Fermi surface.

Now, let us use the same procedure to estimate the backflow function. First, we divide out the wave function at  $\mathbf{k}=0$  and define backflow functions for different  $\mathbf{k}$  vectors,  $Y_{\mathbf{k}}(\mathbf{r})$ ,

$$\mathbf{k} \cdot \nabla Y_{\mathbf{k}}(\mathbf{r}) = \mathbf{k} \cdot \mathbf{r} + i \ln[\phi_{\mathbf{k}}/\phi_0]. \quad (55)$$

Assuming  $Y_{\mathbf{k}}(\mathbf{r})$  is the sum of contributions of proton-electron terms on a Bravais lattice we get

$$\mathbf{k} \cdot \nabla Y_{\mathbf{k}}(\mathbf{r}) = \frac{1}{V} \sum_{\mathbf{G}} i \mathbf{G} \cdot \mathbf{k} Y_{\mathbf{k},\mathbf{G}} e^{i\mathbf{G} \cdot \mathbf{r}}. \quad (56)$$

Setting these two expressions equal and taking the Fourier transform we arrive at

$$Y_{\mathbf{k},\mathbf{G}} = \frac{-i}{\mathbf{k} \cdot \mathbf{G}} \int d\mathbf{r} e^{-i\mathbf{G} \cdot \mathbf{r}} \ln[\phi_{\mathbf{k}}(\mathbf{r})/\phi_0(\mathbf{r})]. \quad (57)$$

In general, the function  $Y_{\mathbf{k},\mathbf{G}}$  depends on both  $\mathbf{k}$  and  $\mathbf{G}$ . For small values of  $k$ , the ratio approaches a limit,  $y_q = \lim_{k \rightarrow 0} Y_{\mathbf{k},\mathbf{q}}$ , independent of both the magnitude and direction of  $\mathbf{k}$ . As with the pair term, we can only determine  $y_q$  at reciprocal lattice vectors  $\mathbf{q}$ , extrapolated from  $\mathbf{k}$  in the first Brillouin zone. Shown in Fig. 3 is  $Y_{\mathbf{k},\mathbf{q}}$  for several values of  $\mathbf{k}$  evaluated for a simple cubic lattice plotted versus  $\mathbf{q}$ . How well the values fall on a smooth curve independent of  $\mathbf{k}$  is a test of the extent to which the band structure orbitals can be cast into the form of a backflow function. Note that only for the smallest values of  $\mathbf{q}$  is the backflow function appreciable

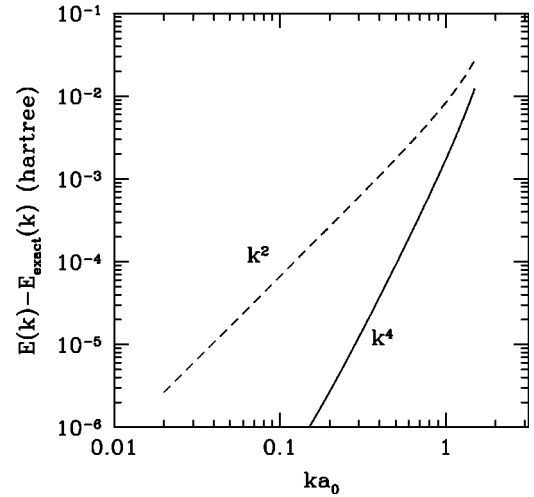


FIG. 4. The error in the band energy of a single electron in a bcc proton lattice for  $r_s = 1.31$  as a function of  $k$  (in the 100 direction) using plane waves (dashed line) and using a BF function (solid line). Both approximations are exact at the  $\Gamma$  point since a trial function exact at  $\mathbf{k}$  was used, but for the BF trial function, the error  $\propto k^4$  while in the PW case (zero backflow) the error is  $\propto k^2$ .

(because the logarithm of  $y$  is shown). At intermediate values of  $q$  one does observe some “nonbackflow” behavior, however, it is not clear how important these effects are. At large  $q$ , we see the “cumulant behavior”  $y_q \approx 8\pi e^2/\lambda q^6$ , shown as the solid line, as expected from the results of Secs. II and III.

In Fig. 4 is shown the error in the band energies with a backflow (BF) wave function and the results for having no backflow effects. For the comparison we used a BF function  $y_q = y_0 \exp(-bq)$  fitted to the low  $q$  behavior. Since  $y_q$  drops off rapidly with respect to  $q$ , it is primarily the effects at small  $k$  that are important to describe [30]. By definition the energies are identical at  $\mathbf{k}=\mathbf{0}$  and the curvature around  $\mathbf{k}=\mathbf{0}$  is exactly put in by the backflow ansatz, at least assuming cubic symmetry. We see that the errors in the band energy go as  $k^4$  instead of  $k^2$  for the nonbackflow trial function. However, near the band edge there are serious problems because our assumed form does not have mixing of the bands required by lattice periodicity. We expect such an effect to be much reduced for a disordered system since such degeneracies will not occur.

This achieves our goal of showing that the dominant band structure effects can be interpreted as backflow corrections, particularly at small  $\mathbf{k}$ . This implies that the changes in the nodal surfaces due to an external potential of protons are well approximated by backflow functions.

The backflow form is a much more succinct description of the single-body wave function than the expansion in plane waves. In the Introduction, we emphasized that this improves performance because we no longer have to perform the band structure calculation. However, there is also an improvement in speed of calculation of the orbitals using backflow. The expansion in plane waves can be quite slow, since the accuracy versus number of terms decreases quite slowly. In previous work on metallic hydrogen [3], we divided the band

structure orbital by an electron-proton Jastrow factor as an approximation to  $\phi_0(r)$ , and then reexpanded in plane waves. The resulting expansion is much more quickly convergent in the number of plane waves since the cusp at  $r_{ep} = 0$  is in the Jastrow factor. It takes the sum of many plane waves to recover this nonanalytic behavior at  $\mathbf{r}_i = \mathbf{z}_j$ . Backflow takes this even further by using the fact that near  $\mathbf{k} = \mathbf{0}$  the wave function can be expanded in pair terms with a higher-order cusp. These pair terms can be conveniently and rapidly computed, since much of the computational effort is to map each pair of particles (ee or ep) onto a grid value for a table lookup. The distances and grid values are then used for all of the pair terms: the potential, the Jastrow, the backflow, and the polarization terms.

The problems concerning degeneracies of the unperturbed plane wave functions near the edge of the Brillouin zone are common to all analytical approaches considered up to now. Without a separate treatment of (nearly) degenerate zeroth-order (plane wave) states, neither the cumulant method (Sec. II) nor perturbation theory (Sec. III) is able to produce the resulting energy splitting at the band edge. A degenerate case will have to be treated by including all of the degenerate states in the unperturbed basis.

## V. QUANTUM MONTE CARLO TESTING OF TRIAL FUNCTION FORMS

There are two principal simulation methods used to calculate the ground state energies of quantum many-body systems: Variational Monte Carlo (VMC) and diffusion Monte Carlo (DMC). In VMC, one samples the square of the wave function, and, in DMC, one uses a trial wave function and the imaginary-time evolution to project onto the ground state. VMC is potentially very powerful because one can use any wave function, as long as one can easily compute its values. One can add correlation directly to the wave function, leading to a very compact accurate wave function. The resulting integrals are similar to that of the classical partition function and therefore demand a simulation algorithm for evaluation. The disadvantage of the variational approach is that one needs to use the right functional space in order to get satisfactory properties. Though DMC is much less dependent on details of the trial wave function than VMC, however, lacking an exact fermion algorithm, the results still depend to some extent on the positions of the node (or phase) of the trial wave function.

The most straightforward and rigorous approach to determine the trial function is to propose a definite analytic form, containing some parameters  $a$ . One then uses VMC to evaluate the variational energy  $E_V(a)$ , an upper bound to the exact energy as a function of  $a$ . One can use various techniques to optimize the parameters to obtain the lowest energy, the lowest variance or some combination of the two. Variational optimization [18,10] has determined good backflow and three-body trial functions for the electron gas in both two and three dimensions. The disadvantage of optimization is that beyond general trends, it is hard to extract analytic behavior because of the noisy behavior of the optimization method and the restriction to a limited functional form.

TABLE II. Energies and variances for the 3D electron gas with  $N=54$  unpolarized electrons in Rydbergs/electron. SJ means a Slater determinant of plane waves times an optimized Jastrow factor. BF3-O are the result of the numerical backflow three-body optimization [10]. BF-A are the results using the RPA Jastrow, Eq. (A1) together with the analytical backflow formula, Eq. (A11), BF3-A with the additional asymmetric three-body wave function of Eqs. (40 and 41).

$r_s$	Wave function	$E_v$	$\sigma^2$	$E_{DMC}$
1	SJ	1.0669(6)	1.15(2)	1.0619(4)
	BF3-O	1.0613(4)	0.028(1)	1.0601(2)
	BF-A	1.0611(2)	0.029(1)	1.0597(1)
	BF3-A	1.0603(2)	0.022(1)	
5	SJ	-0.15558(7)	0.0023(1)	-0.15734(3)
	BF3-O	-0.15735(5)	0.00057(1)	-0.15798(4)
	BF-A	-0.15762(1)	0.00061(1)	-0.15810(1)
	BF3-A	-0.15773(1)	0.00050(1)	
10	SJ	-0.10745(2)	0.00039(.5)	-0.10849(2)
	BF3-O	-0.10835(2)	0.00014(.5)	-0.10882(2)
	BF-A	-0.10843(2)	0.00017(1)	-0.10888(1)
	BF3-A	-0.10846(2)	0.00016(1)	
20	SJ	-0.06333(1)	0.000064(1)	-0.06388(1)
	BF3-O	-0.06378(2)	0.000027(7)	-0.06403(1)
	BF-A	-0.06372(2)	0.000045(2)	-0.06408(1)
	BF3-A	-0.06358(1)	0.000056(1)	

Here we compare several different trial wave functions on two systems: the 3D electron gas and metallic hydrogen. We employ three estimators of the quality of the wave function: the variational energy  $E_v = \langle \psi | \hat{H} | \psi \rangle$ , the variational variance  $\sigma^2 = \langle \psi | \hat{H}^2 | \psi \rangle - E_v^2$ , and the DMC (fixed-node) energy. The first two properties are sensitive to all aspects of a wave function; the variance is particularly sensitive to short-range structure since the energy fluctuations are larger. However, the DMC energy is determined only by the positions of the trial function node, not by the ‘‘bosonic’’ part of the trial function. The VMC/DMC calculations we performed were standard ones [1]. All calculations are done with periodic boundary conditions (PBC), equivalent to the  $\Gamma$  point for a band structure calculation in a cubic unit cell. Hence all trial functions were real. Though twist-averaged boundary conditions [32] are useful in reducing size effects, tests showed that the relative accuracy of various trial functions can be determined with PBC using real trial functions.

First, we discuss the results using backflow and three-body wave functions on the 3D electron gas as shown in Table II. The results using analytic trial functions give results comparable to the numerically optimized backflow results of Kwon *et al.* [10]. We find that for  $r_s < 20$  the analytic wave function has a lower VMC energy than the numerically optimized wave function. This is mainly due to the inclusion of the long-range part of the backflow potential. For all values of  $r_s$  the analytic wave functions have a lower DMC energy, implying a more accurate nodal surface than obtained by numerical optimization. For  $r_s = 20$  the numerically optimized VMC energy is lower than that of the analytic wave

function, indicating that at least the three-body part of the wave function becomes inaccurate at strong correlations.

Now we consider the use of these same trial functions for a system composed of electrons and protons. To determine the properties using the optimization method, we used the RPA form for both the ee and ep  $u(r)$ . We used optimized Gaussians for both the backflow and polarization terms:

$$\eta(r) = \lambda \exp[-(r-r_0)^2/w^2]. \quad (58)$$

Even though the optimal functions may have a long-range tail, as shown earlier, the additional energy gained is small and we neglect the long-range terms in setting up the parametrized trial functions. An additional Gaussian (with  $r_0=0$  so as not to change the cusp value) was added to the pair term. We did not include ee backflow or polarization terms in the wave function. The resulting ten parameter wave function was then optimized to minimize a linear combination [33] of its energy and variance:  $E_v + \tau\sigma^2/2$  with typically  $\tau=0.1$ . Shown in Fig. 3 are the optimized backflow functions compared with the cumulant value, with the analytic form and with the band structure determination. The magnitude and shape are similar, though differences are apparent.

We compare the results with three other wave functions. The simplest is the SJ-PW functions [2], which do not contain backflow, three-body terms and the orbitals are simple plane waves. We also used optimized Slater-Jastrow functions with orbitals from a LDA calculation [3]. Finally shown are various analytic backflow calculations: one contains only ep backflow (and three body), the others have ee backflow (three body) included in addition.

Shown in Table III are both VMC and DMC calculations of various wave functions for metallic bcc hydrogen at  $r_s = 1.31$ , a density very close to the molecular-metallic transition. While the detailed results depend on the number of particles, in general we find that the SJ-PW function is in error within VMC by about 15 mH/atom while the BF is in error by about 4 mH/atom and the LDA trial function by about 2 mH/atom. Within DMC the SJ-PW is in error by 6mH/atom and the BF is as accurate at the LDA trial function within the statistical error. This analysis of errors is done with the assumption that the LDA-DMC energy is exact. As another indication of the quality, the VMC wave function variance is roughly a factor of 3 smaller with the BF wave function than with the SJ-PW wave function.

We see that for  $N=16$  the DMC backflow results are even lower than the LDA function. One reason for this could be that  $N=16$  has a degenerate ground state for a single Slater determinant; many-body effects break the degeneracy. It may be that the current simulations, though similar to those of Natoli, broke the degeneracy in a more favorable way and thus have a lower energy. The  $N=54$  system has a nondegenerate ground state at the mean field level, a closed shell, so the results may be more typical. Finally degeneracy effects are probably less important at  $N=128$  since  $N$  is larger.

We have tested the relative importance of including ee backflow in the case of metallic hydrogen. Using ep backflow-three-body only, the analytical wave functions give considerably higher energies compared to the numerically

TABLE III. Energies for bcc hydrogen at  $r_s=1.31$ . SJ-PW means a Slater determinant of plane waves times an optimized Jastrow factor. LDA means LDA orbitals times an optimized one body factor and Jastrow factor [3], BF3-O ep means optimized ep backflow, ep polarization, and Jastrow. Energies are given in hartrees per atom. Periodic boundary conditions ( $\Gamma$  point) and Ewald sums were used.  $\sigma$  is the variance per electron. BF3-A ep are the analytical wave functions using ep backflow three-body only, whereas BF-A ee+ep are results with ee and ep backflow; BF3-A ee+ep include also ee and ep three-body and backflow, BF-A ee+ep+b uses the same wave functions of BF-A ee+ep but the electron-proton Jastrow and backflow is improved by taking into account the effects of a bound state.

N	Wave function	$E_v$	$\sigma^2$	$E_{DMC}$
16	SJ-PW	-0.4754(2)	0.0773(25)	-0.4857(1)
	LDA	-0.4870(10)		-0.4890(5)
	BF3-O ep	-0.4857(1)	0.0317(5)	-0.4900(1)
	BF3-A ep	-0.4798(1)	0.0513(2)	
	BF-A ee+ep	-0.4850(1)	0.0232(1)	-0.4905(1)
	BF3-A ee+ep	-0.4850(1)	0.0227(1)	
	BF-A ee+ep+b	-0.4878(1)	0.0181(4)	
54	SJ-PW	-0.5241(3)	0.0642(9)	-0.5329(1)
	LDA	-0.5365(5)		-0.5390(5)
	BF3-O ep	-0.5331(6)	0.033(1)	-0.5381(1)
	BF3-A ep	-0.5261(1)	0.0516(3)	
	BF-A ee+ep	-0.5323(1)	0.0222(2)	-0.5382(1)
	BF3-A ee+ep	-0.5325(1)	0.0214(1)	
	BF-A ee+ep+b	-0.5353(2)	0.0178(2)	
128	SJ-PW	-0.4818(2)	0.0656(23)	-0.4900(2)
	LDA	-0.4962(2)		-0.4978(2)
	BF3-O ep	-0.4934(2)	0.035(2)	-0.4958(3)
	BF3-A ep	-0.4846(3)	0.059(1)	
	BF-A ee+ep	-0.4928(2)	0.030(1)	-0.4978(4)
	BF3-A ee+ep	-0.4926(2)	0.029(1)	
	BF-A ee+ep+b	-0.4947(2)	0.023(1)	

optimized ones. Including ee backflow in the analytical forms, they become comparable. One should note that the analytical approaches derive ee and ep backflows at the same order of approximation; dropping one of them alone is not justified and might explain the importance of including ee and ep backflows in the analytical functions. The inclusion of three-body terms does not noticeably affect the energies. This is similar to the results for the electron gas at comparable densities [10]. Since the density is close to the transition from metallic to molecular hydrogen we tried to improve our wave function by considering the effects of a simple electron-proton bound state on Jastrow and backflow in our analytical formulas (see the Appendix) and found significantly lower energies within VMC.

Note that when ee backflow is included, it becomes necessary to move all electrons together, and for reasonable acceptance ratios one must choose an increasingly smaller time step as the system size increases. However, the more accurate nodal surface gives both a quantitative improvement in properties and qualitative changes in some properties such as Fermi liquid parameters [31].

TABLE IV. Energy and variance of liquid metallic hydrogen at  $r_s = 1.31$ , and  $N = 16$ . The notation of the trial function is described in Table II. The entries marked (bcc) are performed with the value of the parameters optimized on the perfect bcc lattice. The other entries are optimized over 1000 independent protonic configurations taken at thermal equilibrium at 5000 K. All results are using VMC.

Wave function	$E_v$	$\sigma^2$
SJ-PW	-0.4225(8)	0.0812(4)
BF3-O-bcc ep	-0.4418(5)	0.0447(7)
BF3-O-liq ep	-0.4433(8)	0.0710(10)
BF3-O-liq ee+ep	-0.4462(8)	0.0482(8)
BF3-A ee+ep	-0.4430(4)	0.0548(2)
BF3-A ee+ep+b	-0.4464(6)	0.052(2)

We also used the CEIMC [5] method to generate a collection of proton positions appropriate to liquid metallic hydrogen at 5000 K, far above the melting temperature of the lattice. Using these configurations we tested the accuracy of the same trial functions described above. See Table IV. The values marked BF3-O are obtained minimizing local energy and variance for 1000 different equilibrium configurations. We compared to the other ways of determining the backflow functions, either the analytic formulas (see the Appendix) or optimized on the lattice. While the optimized BF3 functions have a slightly lower energy in some cases, this does not compensate for the difficulty and reliability of performing the optimization. We find that the BF3 wave functions are about 20 mH/atom lower in energy than from the SJ-PW at the VMC level, and have a lower variance. This comparison shows that disorder weakly affects the determined functions at least in this experiment. This supports our belief that the BF3 wave function is “transferable” to a variety of protonic configurations. In addition, we expect the backflow wave function to be more effective in the disordered system, since the energy degeneracies caused by crystal symmetry of a perfect lattice are not present. Comparisons using optimized LDA functions to support this hypothesis will be reported in a future publication.

## VI. CONCLUSION

What we have shown in this paper is that ideas from perturbation theory can be used to generate an explicit trial wave function beyond the pair level. This gives us both an insight into the form of the many-body wave function and a more efficient quantum Monte Carlo simulation for disordered systems. This approach has also given intuition on the effect of an external potential on the wave function, even for a single electron. We have shown that one can approximate the band wave function (a  $3d$  table of numbers for each Bloch wave), with three 1D functions ( $u, w$ , and  $y$ ) valid for all Bloch waves achieving reasonable accuracy. It should be recalled that for the electron-proton system, there will be these three functions for the ee interaction and three functions for the ep interaction. We have found analytical representations of these functions accurate throughout most of the

phase diagram of the electron gas and promising for metallic hydrogen.

An important consideration in Monte Carlo is computational efficiency. For electron-electron backflow, the code runs slower due to having to move all the particles together. For electron-proton backflow that is not the case. You can still move electrons one at a time since all the changes in the Slater matrix are confined to a single column; each such matrix value is given by a term of the same form as a classical force, allowing it to be quickly computed once the ep distance has been computed. Expansions of single-body orbitals in a plane wave basis can be quite time consuming, especially when pseudopotentials are not used.

But the most important advantage of the backflow wave functions is that the form can be easily extended to put in effects of electron-electron correlation on the nodes. The outstanding problem in the simulation of quantum systems is the “fermion sign problem.” If the nodal surfaces are accurately approximated, then the “fixed-node” method will give accurate results. The present work establishes analytic properties of the backflow functions and thus leads to important progress in understanding nodal surfaces. In particular, the effect of long-range interactions resulting in perturbations to the nodal surfaces is important to establish. Strong short-range effects can be captured either by energy minimization or by the nodal release algorithm, which can solve for the exact wave function for relatively short projection times or for small numbers of fermions [34]. Fixing the relationship between the long-wavelength collective coordinates and the nodal surfaces could be crucial in obtaining accurate simulations for fermion systems.

In this paper, we discussed the use of backflow functions for metallic hydrogen, using plane waves as the reference state. Generalization of this form of trial function to molecular hydrogen, an insulator, is straightforward. Let us take as the reference state  $M^{(1)} = \sum_i t_i(\mathbf{r}_i) + U(R)$  a Hartree-Jastrow trial function;  $U(R)$  is a pair term including both ee and ep terms and  $t_i(\mathbf{r}_i)$  is a real function localized on the  $i$ th molecular bond, e.g.,  $t_i(\mathbf{r}) = -\ln[\phi_i(\mathbf{r})]$  where  $\phi_i(\mathbf{r})$  is a non-orthogonal Wannier function. Ceperley and Alder [2] used a spherical Gaussian centered on the bond but a more general function can be used. Let  $\mathbf{Z}_i$  be the bond center, then we can expand  $t_i(\mathbf{r}_i) = (\mathbf{r}_i - \mathbf{Z}_i)\bar{A}(\mathbf{r}_i - \mathbf{Z}_i)$ . Applying the GFK iteration, Eq. (8), the bond center becomes displaced by an amount  $\mathbf{Z}_i \rightarrow \mathbf{Z}_i + 2\lambda \langle \langle \nabla_i U \rangle \rangle_1$ . The bond center is offset by “backflow forces” coming from the other charges. The backflow displacement is similar to that for metallic hydrogen, Eq. (13), but because the averaging has a different drift, the optimal backflow potential  $Y(R)$  will differ. In addition,  $U, \bar{A}$  are renormalized and a three-body/polarization term  $W(R)$  is generated. Preliminary calculations using these ideas are encouraging.

Backflow ideas are also useful at finite temperature. In that case we need to know how density matrices will evolve going from high temperature to low temperature [35]. One knows how to put in backflow at high temperature. The challenge is to smoothly interpolate to zero temperature since it is clear that the backflow potential must be a smooth func-

tion of temperature. In the variational density matrix method [36] one uses a Hartree-Fock approach with a Gaussian basis to determine the evolution of the nodal surface of the many-body density matrix. The various approaches we have described here, in particular the Bohm-Pines method, will be useful in understanding the temperature dependence.

Another important problem is to generalize these methods to treat electrons with core states. The formalism should generate good trial functions in the valence region and can be used with either all-electron methods or pseudopotentials in that region. We hope that with some modification the procedures we have discussed will be useful in the core region as well.

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### APPENDIX: ANALYTIC EXPRESSIONS OF THE TRIAL WAVE FUNCTION

In this section we summarize the analytic two-body, backflow, and polarization functions which describe the trial functions. We start from the pair-product (Slater-Jastrow) wave function based on the RPA, using

$$2n\tilde{u}_q^{ee} = -1 + \left(1 + \frac{2n\tilde{v}_q}{\varepsilon_q}\right)^{1/2} \quad (\text{A1})$$

and

$$2n\tilde{u}_q^{ep} = -\frac{2n\tilde{v}_q}{\varepsilon_q(1 + 2n\tilde{v}_q/\varepsilon_q)^{1/2}}, \quad (\text{A2})$$

where  $\varepsilon_q = \hbar^2 q^2 / 2m \equiv \lambda q^2$ . Here  $m$  is the electron mass and  $n$  is the electronic density. Using a trial function with ee and ep Jastrow factors corresponds to the following extended Hamiltonian, Eq. (44), with

$$M_q^2 = (\tilde{u}_q^{ee})^2 2n\varepsilon_q, \quad (\text{A3})$$

$$M_q P_q = \tilde{u}_q^{ep} \tilde{u}_q^{ee} 2n\varepsilon_q. \quad (\text{A4})$$

Applying the unitary transformation (51) to the wave function, it generates the backflow potentials,

$$y_q^{ee, \text{int}} = \frac{2\lambda M_q^2}{\omega_p(q)[\omega_p(0) + \varepsilon_q]}, \quad (\text{A5})$$

$$y_q^{ep, \text{int}} = \frac{2\lambda M_q P_q}{\omega_p(q)[\omega_p(0) + \varepsilon_q]}, \quad (\text{A6})$$

where we used  $\omega_p^2(q) = 8\pi\lambda e^2 n + 2.4k_F^2 \lambda \varepsilon_q + \varepsilon_q^2$  for the plasma frequencies and  $k_F$  is the Fermi vector. The screened interaction between electrons, Eq. (45), and between electrons and protons, Eq. (48), can be treated by perturbation theory. Summing up the particle-hole (bubble) diagrams, using only the zeroth-order plane waves, leads to coefficients  $\alpha_{k_1, k_2, q}$  for the electron gas, as given by Eq. (38), but with an effective interaction and dielectric constant:

$$\tilde{v}_{\text{eff}}^{ee}(q) = \tilde{v}_q - M_q^2, \quad \varepsilon_{\text{eff}}(q, \omega) = 1 - \tilde{v}_{\text{eff}}^{ee}(q)D(q, \omega), \quad (\text{A7})$$

where  $D(q, \omega)$  is the real part of the Lindhard function. As the pair-product form already accounts for plasmons, we do not consider any additional plasmon contributions to Eq. (38). Expanding Eq. (38) around  $k_i = 0$ , we obtain

$$y_q^{ee, sr} = \frac{[S(q)]^2}{2q^2} \frac{\tilde{v}_{\text{eff}}^{ee}(q)}{\varepsilon_q \varepsilon_{\text{eff}}(q, \varepsilon_q)}. \quad (\text{A8})$$

To obtain this formula we have further approximated the sum over occupied (unoccupied) states by  $[S(q)]^2/4$ , where  $S(q)$  is the ideal gas structure factor,

$$S(q) = \begin{cases} \frac{1}{2} \left[ \frac{3q}{2k_F} - \left( \frac{q}{2k_F} \right)^3 \right], & q < 2k_F \\ 1, & q \geq 2k_F. \end{cases} \quad (\text{A9})$$

The screened electron-proton interaction gives a similar term

$$y_q^{ep, sr} = \frac{2}{\varepsilon_q q^2} \frac{\tilde{v}_{\text{eff}}^{ep}(q)}{\varepsilon_{\text{eff}}(q, 0)} \quad (\text{A10})$$

with the screened electron-proton interaction  $\tilde{v}_{\text{eff}}^{ep}(k) = -(\tilde{v}_k - M_k P_k)$ .

Adding these two contributions, the total backflow is

$$y_q^{ee} = y_q^{ee, \text{int}} + y_q^{ee, sr}, \quad (\text{A11})$$

$$y_q^{ep} = y_q^{ep, \text{int}} + y_q^{ep, sr}. \quad (\text{A12})$$

We also performed calculations with an additional ee Jastrow function  $\sim \tilde{v}_{\text{eff}}^{ep}(q)/[\varepsilon_q \varepsilon_{\text{eff}}(q, 0)]$  but this form did not lower the energy. Assuming that this form disturbs the already correct limiting behavior of the Jastrow part  $u_q^{ee}$  and  $u_q^{ep}$  for  $q \rightarrow 0$  and  $q \rightarrow \infty$ , we took only the portion around the logarithmic singularity at  $2k_F$ , by using the following additional Jastrow factor:

$$\tilde{u}_q^{ee, \text{add}} = \frac{[S(q)]^2}{4\varepsilon_q} \tilde{v}_{\text{eff}}^{ee}(q) \left\{ \frac{1}{\varepsilon_{\text{eff}}(q, \varepsilon_q)} - \frac{1}{\varepsilon_{\text{eff}}(0, 0)} \right\}, \quad (\text{A13})$$

$$\tilde{u}_q^{ep, \text{add}} = \frac{1}{\varepsilon_q} \tilde{v}_{\text{eff}}^{ep}(q) \left\{ \frac{1}{\varepsilon_{\text{eff}}(q, 0)} - \frac{1}{\varepsilon_{\text{eff}}(0, 0)} \right\}. \quad (\text{A14})$$

We used  $\tilde{u}_q^{ep} + \tilde{u}_q^{ep,add}$  for the total electron-proton Jastrow potential, but only  $\tilde{u}_q^{ee}$ , since the additional term  $\tilde{u}_q^{ee,add}$  did not improve the variational energies of the electron gas. We used the unsymmetrical form of the polarization with different left and right components given by Eqs. (40) and (41):

$$w_u^{ep}(r) = u^{ep,add}(r), \quad w_y^{ep}(r) = y^{ep}(r). \quad (\text{A15})$$

Analogous forms were used for the electron-electron part.

For the case of metallic hydrogen we tried to take into account the effects of a possible bound state on the electron-proton pair and backflow potential. The single-electron wave function  $\phi_b$  considering only one bound state can be approximately written by

$$\phi_b \approx \frac{A}{\sqrt{N}} \sum_i \varphi_b(|\mathbf{r} - \mathbf{r}_i|), \quad (\text{A16})$$

where  $\mathbf{r}_i$  is the position of the  $i$ th proton and the sum extends over all  $N$  protons. As single-particle orbital we will take the hydrogen ground state,  $\varphi_b = (\pi a_b^3)^{-1/2} \exp(-r/a_b)$ , with energy  $e_b = 1/2ma_b^2$ ;  $A \leq 1$  is a normalization taking into account the nonzero overlap between orbitals on different sites. Using Eq. (28) we obtain for the scattering amplitude

$$f(e_b, \mathbf{p}) = - \sum_i \frac{A e^{-i\mathbf{p} \cdot \mathbf{r}_i}}{\sqrt{N\pi a_0^3}} \frac{4\pi e^2}{p^2 + (a_0^{-1} + k_{TF})^2}, \quad (\text{A17})$$

where we have taken a screened Coulomb interaction  $v(r) = -e^2 e^{-k_{TF}r}/r$  with the Thomas-Fermi wave vector  $k_{TF}^2 = 2k_F e^2 / \pi \lambda$ , and we have neglected overlap effects from different sites. From Eq. (27) we can finally derive the corrections to the pair potential,

$$u_q^{ep,b} = - \frac{A}{\sqrt{n\pi a_b^3} [1 + (q/2k_{TF})^2]} \times \frac{4\pi e^2}{[q^2 + (a_b^{-1} + k_{TF})^2] [e_b + e_q]} \quad (\text{A18})$$

and

$$y_q^{ep,b} = - \frac{8\pi e^2 A}{\sqrt{n\pi a_b^3} [1 + (q/2k_{TF})^2]} \times \left( \frac{1}{[q^2 + (a_b^{-1} + k_{TF})^2]^2 [e_b + e_q]} + \frac{\lambda}{[q^2 + (a_b^{-1} + k_{TF})^2] [e_b + e_q]^2} \right) \quad (\text{A19})$$

for the backflow potential. We have cut off the short-range part of the corrections by multiplying with  $[1 + (q/2k_{TF})^2]^{-1}$  in order not to destroy the cusp conditions. Since we expect a higher energy for the ground state of the screened Coulomb interaction than for the pure Coulomb potential, we used  $a_b \approx 2a_0$  and  $A \approx 1$  in the numerical calculations.

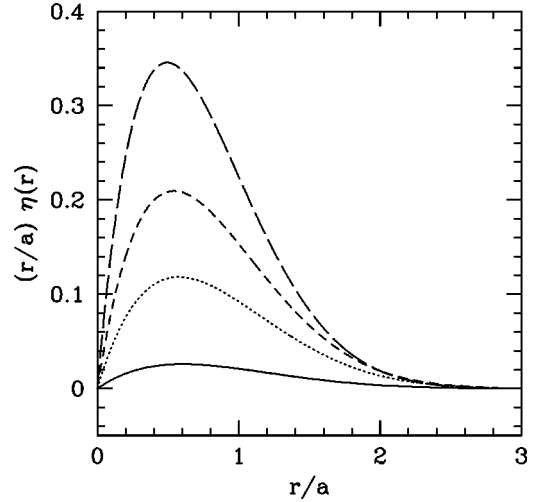


FIG. 5. The change in the quasiparticle coordinate  $r\eta(r)$  (analytic backflow) caused by an electron a distance  $r$  away in the 3D electron gas. Graphed is only the short-range part of  $\eta$  with  $N = 54$ . The four figures are for  $r_s = 1, 5, 10, 20$  from the bottom to the top of the figure. Compare to the optimized forms in Fig. 2 in Ref. [10].

All potentials were split into a short-range and long-range part [37] in such a way as optimize the accuracy for a given  $r$ -space and  $k$ -space cutoff. The short-range function is evaluated in real space and the long-range part is then calculated by summing over Fourier components. Figure 5 shows numerical values of  $\eta(r)$  for the 3D electron gas. Comparing with the same figure of Kwon *et al.* where these functions were numerically optimized, we see that the short-ranged functions are very similar for  $r_s < 10$  but different at larger  $r_s$ . Figure 6 shows the three-body contribution to the wave function. It is a rapidly increasing function of  $r_s$  and is somewhat narrower and more structured than the numerically optimized form.

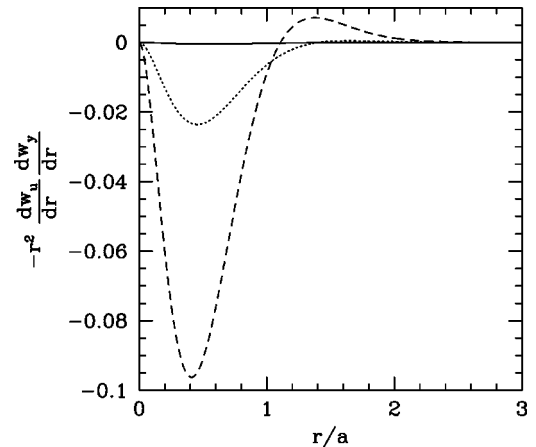


FIG. 6. The three-body contribution to the logarithm of the wave function due to three electrons in the 3D electron gas. This is just the short-range parts of  $w(r)$  for  $N = 54$ . The solid line, for  $r_s = 1$ , is close to zero (maximum magnitude of  $3 \times 10^{-4}$ ). The dotted line and dashed lines are for  $r_s = 5, 10$ . Compare to the optimized forms in Fig. 1 in [10].

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